

Non-covalent Monolayer-Piercing Anchoring of Lipophilic Nucleic Acids: Preparation, Characterization, and Sensing Applications

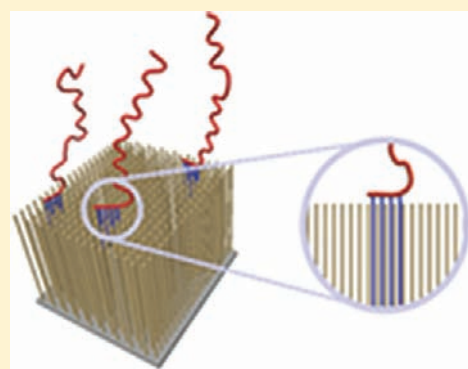
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S Supporting Information

ABSTRACT: Functional interfaces of biomolecules and inorganic substrates like semiconductor materials are of utmost importance for the development of highly sensitive biosensors and microarray technology. However, there is still a lot of room for improving the techniques for immobilization of biomolecules, in particular nucleic acids and proteins. Conventional anchoring strategies rely on attaching biomacromolecules via complementary functional groups, appropriate bifunctional linker molecules, or non-covalent immobilization via electrostatic interactions. In this work, we demonstrate a facile, new, and general method for the reversible non-covalent attachment of amphiphilic DNA probes containing hydrophobic units attached to the nucleobases (lipid–DNA) onto SAM-modified gold electrodes, silicon semiconductor surfaces, and glass substrates. We show the anchoring of well-defined amounts of lipid–DNA onto the surface by insertion of their lipid tails into the hydrophobic monolayer structure. The surface coverage of DNA molecules can be conveniently controlled by modulating the initial concentration and incubation time. Further control over the DNA layer is afforded by the additional external stimulus of temperature. Heating the DNA-modified surfaces at temperatures $>80\text{ }^{\circ}\text{C}$ leads to the release of the lipid–DNA structures from the surface without harming the integrity of the hydrophobic SAMs. These supramolecular DNA layers can be further tuned by anchoring onto a mixed SAM containing hydrophobic molecules of different lengths, rather than a homogeneous SAM. Immobilization of lipid–DNA on such SAMs has revealed that the surface density of DNA probes is highly dependent on the composition of the surface layer and the structure of the lipid–DNA. The formation of the lipid–DNA sensing layers was monitored and characterized by numerous techniques including X-ray photoelectron spectroscopy, quartz crystal microbalance, ellipsometry, contact angle measurements, atomic force microscopy, and confocal fluorescence imaging. Finally, this new DNA modification strategy was applied for the sensing of target DNAs using silicon-nanowire field-effect transistor device arrays, showing a high degree of specificity toward the complementary DNA target, as well as single-base mismatch selectivity.



INTRODUCTION

Biofunctional interfaces of biomolecules and solid inorganic substrates (such as semiconductor materials) have been the focus of enormous interest in basic and applied science due to their vast potential applications in fields including proteomics, microarray technology, and biosensors.^{1–10} It is anticipated that these hybrid biointerfaces will be able to perform specific functions, such as biorecognition in the context of electrical, mass, and optical measurements, better than either purely organic or inorganic systems.^{11–16} For example, on-surface hybridization, in which single-stranded (ss) DNA targets are tethered to a solid support and bind DNA analyte molecules from solution, forms the basis of modern microarray and biosensor DNA biotechnologies extensively used in genotyping, studying gene expression,

and biological detection applications.^{17–23} DNA chips or DNA microarrays, on which thousands of hybridization reactions are carried out in parallel, are regularly used to address fundamental questions of biology and for clinical and research sample characterization, even on the whole-genome scale. Biomolecule-modified solid surfaces or biosensors are usually dedicated to the specific and quantitative detection of a single or multiple analytes, often performed in real time.^{24–28} For such applications, ssDNA oligonucleotide probes are in general covalently immobilized via a functional linker onto the metal or semiconductor surface, directly or mediated by a previously modified

Received: July 20, 2011

Published: November 15, 2011

reactive thin organic film.²⁹ Common approaches for the preparation of DNA monolayers involve the formation of stable sulfur–gold bonds between thiol-derivatized oligonucleotides and Au surfaces^{30–33} or the utilization of cross-coupling linker moieties, often carrying maleimide or *N*-hydroxysuccinimide groups, to furnish a proper connection between DNA and siloxane layers.^{34–37} Additionally, non-covalent surface modification routes solely based on electrostatic interactions between the negatively charged DNA probe elements and positively charged surfaces have been demonstrated.^{38,39} In spite of their frequent use, these approaches do not offer a satisfactory degree of control over the basic attributes required for most sensing purposes.

Nucleic acid sensing employing DNA probe layers requires that hybridization capability and specificity be maintained and that non-specific interactions between the solid surface and the DNA target be minimized. These criteria remain the imperative goals for improvements in the sensing performance of DNA biosensor devices. Indeed, the hybridization behavior of probe and complementary target DNA molecules at the solid–liquid interface can differ significantly from the analogous hybridization processes in solution. When base pairing occurs at a solid surface, non-specific probe–surface interactions may represent a critical issue with considerable influence on the efficacy and capacity of DNA hybridization-based sensing devices. In order to reduce non-specific surface adsorption of DNA analyte molecules, as well as the adsorption of other biomolecules that may be present in complex biological samples under inspection, anti-fouling spacer layers such as cellulose, poly(acrylamide), and poly(ethylene glycol) (PEG) have been applied to anchor the DNA probe strands onto solid surfaces.⁴⁰ These approaches have been proven effective, but much room for improvement remains in the design of chemical anchoring procedures.

In general, the surface chemistry for the immobilization of DNA and other biomolecules should proceed under mild reaction conditions in aqueous media and under ambient conditions to enable smooth integration with device fabrication. In addition, the passivation layer between the DNA probe molecules and the solid substrate should be as dense as possible to minimize non-specific analyte adsorption effectively and prevent misleading analysis.

Most of the hybrid biointerfaces discussed above are generated as self-assembled monolayers (SAMs). In general, SAMs are highly ordered single layers of organic molecules formed by spontaneous adsorption of an active surfactant onto a solid surface, and they provide a versatile and powerful tool to generate monomolecular films of biological molecules on a variety of surfaces. This technique has developed dramatically in terms of synthetic sophistication and depth of characterization over the past two decades and is now applied for the development of sensing applications because of several attractive features. First, miniaturization is easy due to the minimal resources needed (e.g., a monolayer may contain as few as 10^{13} molecules/cm²). Second, the simple procedure of SAM formation and its compatibility with solid substrates for electrochemical measurements offer special benefits for biosensor applications. Most importantly, the highly ordered and dense nature of long-chain alkanethiol SAMs mimics the cellular microenvironment of lipid bilayer structures, thus potentially offering novel substrates for immobilization of biomolecules or more complex biological systems. To take full advantage of this potential, these biological species could be compatibilized with the SAM through appropriate chemical modifications.

Broadly, the combination of hydrophobic elements such as long alkyl chains with hydrophilic units leads to amphiphilic species, which are essential building blocks for self-assembled materials. They are capable of forming a variety of structures of different morphology and size, depending on the hydrophobic volume and the size of the headgroup, as well as other variables such as concentration, temperature, pH, and solvent. Many such structures are present in nature and play important roles in biological processes, for instance phospholipids in the bilayers of cell membranes and intracellular vesicles. Some of these self-assembled aggregates have been reproduced *in vitro* and employed as nanoreactors and containers for catalysts as well as for gene and drug delivery.^{41–44} A more recent development in this field is the generation of hybrid amphiphiles containing hydrophobic units and hydrophilic biomacromolecule components. In these materials, the biological building blocks are peptides and oligonucleotides, covalently attached to hydrophobic polymers such as poly(propylene oxide) (PPO),^{45,46} polystyrene,⁴⁷ poly-(butyl acrylate), and poly(D,L-lactic-co-glycolic acid) (PLGA)⁴⁸ or small hydrophobes like linear and branched alkyl chains.^{49,50} Among those hybrids, DNA-based materials are especially appealing due to their sequence programmability, self-recognition, and mechanical properties. A common motif for the DNA amphiphiles is terminal functionalization at the sugar–phosphate backbone, for instance with cholesterol⁵¹ or long alkyl chains for anchoring into lipid bilayers.⁵²

Here, we demonstrate a new and general method for the reversible non-covalent anchoring of amphiphilic DNA probes containing hydrophobic units attached to the nucleobases (lipid–DNA)^{53,54} onto SAM-modified gold electrodes, silicon semiconductor surfaces, and glass substrates. Using hydrophobic SAMs as an anchoring basis, we show the anchoring of well-defined amounts of lipid–DNA onto the surface by piercing their lipid tails into the monolayer structure. The surface coverage of DNA molecules can be controlled by simply modulating the initial concentration and incubation time. Further control over the DNA layer is afforded by the additional external stimulus of temperature. Heating the DNA-modified surfaces at temperatures >80 °C leads to the release of the lipid–DNA structures from the surface without harming the integrity of the hydrophobic SAMs. The DNA-free surface can then be repeatedly modified with lipid–DNA probe molecules in the same manner, which should allow further cycles of nucleic acid sensing and the reuse of analytical devices. These DNA layers can be further tuned by anchoring onto a mixed SAM containing hydrophobic molecules of different lengths, rather than a homogeneous SAM. Immobilization of lipid–DNA on such SAMs has revealed that the surface density of DNA probes is highly dependent on the composition of the surface layer and the structure of the lipid–DNA. The number of lipophilic tails, either two or four in the structures addressed here, is an important parameter influencing the insertion capabilities and the stability of the resulting immobilized DNA layers.

The formation of the lipid–DNA sensing layers was monitored and characterized by X-ray photoelectron spectroscopy (XPS), quartz crystal microbalance (QCM) experiments, ellipsometry, contact angle measurements, atomic force microscopy (AFM), and confocal fluorescence imaging. Finally, this new DNA modification strategy was applied for the sensing of target DNAs using silicon-nanowire field-effect transistor (FET) device arrays, showing a high degree of specificity toward the complementary DNA (cDNA) target, as well as single-base mismatch selectivity.

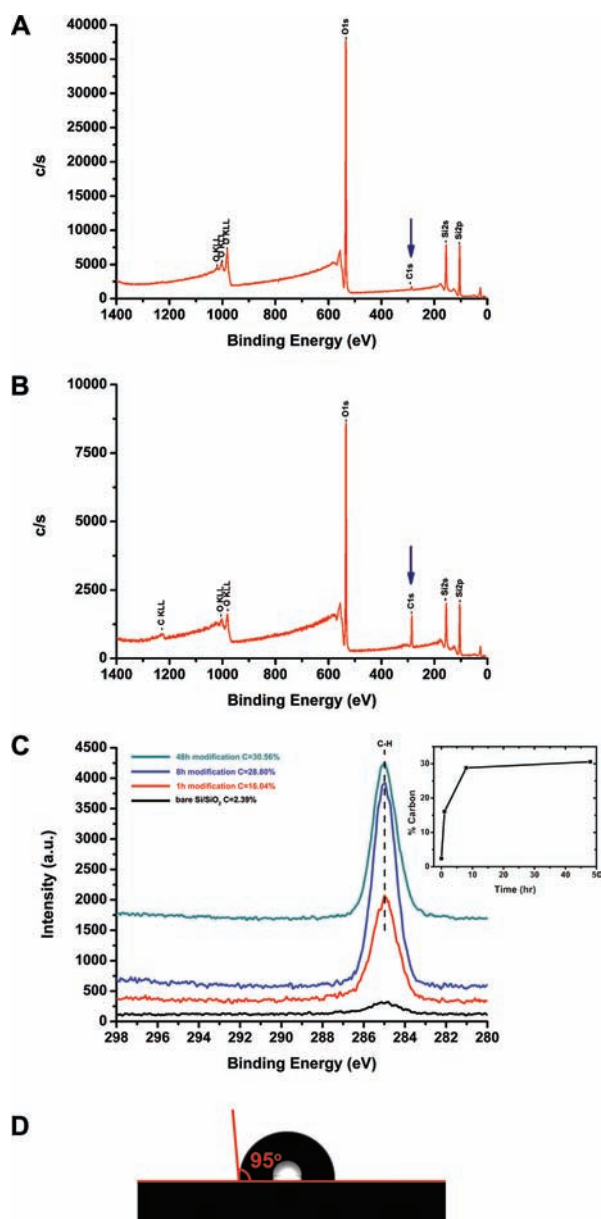


Figure 1. Characterization of the formation of hydrophobic monolayers of *n*-octadecyldimethylchlorosilane ($C_{18}Si$) on Si/SiO_2 wafers. (A) XPS survey spectrum of bare Si oxide substrate. The weak $C1s$ peak is indicated. (B) XPS survey spectrum of the Si oxide substrate chemically modified with $C_{18}Si$, showing an increase in the $C1s$ peak. (C) High-resolution XPS spectra showing $C1s$ peaks of a bare silicon oxide wafer (black) modified with $C_{18}Si$ for periods of 1 (red), 8 (blue), and 48 h (green), revealing a significant increase in the $C1s$ peak upon monolayer formation. Inset: Growth of the $C1s$ peak over time, with a clear plateau after approximately 8 h reaction time. (D) Contact angle measurement showing a value of 95° after 1 h incubation time with $C_{18}Si$, in comparison to a contact angle of 42° for bare silicon oxide, indicating the formation of a hydrophobic monolayer.

between monolayer and substrate as the patterned region shifts from hydrophobic to hydrophilic upon insertion of DNA. Similar experiments were performed using (heptadecafluoro)-1,1,2,2-tetrahydrodecyldimethylchlorosilane to probe the role of surface hydrophobicity. The fluorosilane derivative forms a less hydrophobic monolayer than $C_{18}Si$, as indicated by its lower contact

angle of 72° , which resulted in poorer lipid–DNA insertion efficiency (Figure S4).

Lipid–DNA species are presumably incorporated through stabilization of pre-existent defects in the SAMs. This behavior is similar to the reported mechanisms for the exchange of alkane-thiol monolayers.^{55–57} In the current case, no exchange reaction can take place; instead, the lipophilic tails of lipid–DNA enter and fill defect gaps in the SAM, in this manner stabilizing the whole monolayer structure.

Having successfully realized a lipid–DNA monolayer, we proceeded to evaluate the capacity of tethered U4T for hybridization with its fully cDNA sequence. The XPS analysis after hybridization showed an increase of the $C1s$ atomic concentration to 41.4% but also a drop in the $N1s$ peak to 3.47%. The unexpected decrease of the $N1s$ value can be explained by a change of the conformation of the DNA upon hybridization. The resulting DNA double strand adopts a straight conformation due to increased electrostatic interstrand repulsion compared to the single strand, which probably resulted in screening of the nitrogen atoms inside the duplex. Dehybridization of the duplex DNA with double-distilled water (DDW) led to a decrease of the atomic concentration values of $C1s$ and $N1s$ to 35.01% and 2.31%, respectively (Figure 4A,B). Additionally, we have conducted XPS measurements taking into account the atomic concentrations of P (Table 1). Although the atomic concentrations of P are relatively low in comparison to the other measured atoms, it reveals informative data regarding the insertion of the DNA strands into the hydrophobic monolayer. It is clearly evident that the atomic concentrations of P are in correlation with the atomic concentrations of N, further supporting the different stages in which the lipid–DNA molecules were inserted, hybridized, and released from the monolayer.

Notably, all SAM samples were analyzed by XPS at a shallow take-off angle of 23° . The maximum layer thickness is obtained at an angle of 90° with a depth of about 100 Å. As the angle decreases, information is collected from a depth lesser than 100 Å. In accordance, several examples from the literature show conformational changes occurring upon hybridization of immobilized ssDNA molecules.^{58–60} Our results further support these observations and interpretations done by other groups. Generally, we claim that the resulting DNA double strand adopts a straight conformation compared to ssDNA. According to Table 1, the $Si\%$ and $O\%$ decreased upon hybridization, indicating a thicker layer on the surface of the wafer. The $N\%$ dropped, where it allegedly was expected to increase, but measurement of the backbone $P\%$ revealed similar tendency with a drop in its atomic concentration. We therefore deduce that the duplex DNA adopted a stiff conformation and straightened up, in accordance to previous studies. This change in conformation between shallower ssDNA molecules and the double-stranded (ds) DNA rigid cylinder-like molecules after hybridization may account for the reduction of the measured $N\%$ and $P\%$ values after hybridization. The XPS measurements performed at a low angle of 23° are sensitive to the DNA-layer thickness changes, which presumably determine the measured atomic composition values.

Confocal fluorescence imaging was used to further confirm the hybridization of the tethered U4T with its complementary sequence, here carrying the fluorescent dye label Alexa 488. We observed a characteristic green fluorescence from the U4T-modified hydrophobic surface indicative of successful hybridization with the labeled strand (Figure 3A). Already slight washing of the surface with DDW after the presumed formation of the

Table 1. XPS Elemental Analysis (in %)^a

ref (Si/SiO ₂)	chemical modification for the SAM				insertion of U4T lipid–DNA					removal of U4T lipid–DNA		re-insertion of U4T lipid–DNA		
	C18		C8:C18 1:8		C18		C8:C18 1:8			ss			ds*	
	1 h*	8 h	48 h	1 h	1 h	8 h	20 h*	20 h	hybridization with U4T-comp DNA	dehybridization of U4T-comp DNA	ss			
C	2.39	16.4	28.80	30.56	15.86	32.64	32.74	37.34	40.49	41.19	34.92	27.97	28.14	39.27
N	–	–	–	–	–	3.67	3.61	4.05	4.21	3.47	2.31	2.36	2.26	3.24
O	64.63	53.51	42.97	41.50	54.11	43.02	43.36	39.18	38.03	36.30	38.96	46.04	46.47	38.68
Si	32.97	30.45	28.23	27.94	30.03	20.41	19.74	18.83	17.26	18.50	21.8	23.48	23.13	18.19
P	–	–	–	–	–	0.47	0.54	0.61	–	0.53	0.27	0.16	–	–

^aThe functionalization steps are ordered from left to right according to their chronological order, and those marked with an asterisk were applied for the next step. Briefly, samples were chemically modified with silane derivatives for different time periods; U4T lipid–DNA was inserted into the 1 h monolayer, followed by hybridization with cDNA and dehybridization; finally, the lipid–DNA layer was removed by heat treatment either in the ss (before hybridization) or ds (after hybridization) form and new lipid–DNA was re-inserted.

dsDNA led to a significant reduction in fluorescence, as indicated by the decrease in the gray-scale intensity (Figure 3B). On the other hand, hybridization with Alexa 488-labeled non-complementary control DNA resulted in poor fluorescence, demonstrating negligible non-specific adsorption to the hydrophobic surface. Fluorescence images were prepared once more, only this time with the lipophilic U4T DNA bilayer in a micropattern of squares and lines, in a manner similar to the samples prepared for the AFM measurements (Figure 3C). Images undoubtedly show that lipid–DNA was indeed pierced into the hydrophobic micropattern and underwent hybridization with its complementary fluorescently labeled DNA sequence only in square and line areas.

Moreover, the monolayers with inserted lipid–DNA show high stability, as revealed by extensive washing of the surfaces with water and buffer solutions for periods of up to 48 h. No degradation of the C1s and N1s atomic concentrations was observed at these experimental conditions. Notably, as indicated by XPS results, heating either ss- or dsU4T-modified surfaces at temperatures between 80 and 100 °C resulted in removal of the DNA monolayer, leading to recovery of the original hydrophobic monolayer surface (Figure 4A,B). Re-incubation of the heat-treated surface with U4T solution showed the same DNA adsorption behavior as described before (compare Figure 2A, B). This process can be repeated for several insertion–heating cycles without any considerable degradation of the hydrophobic monolayer surface and efficiency of insertion. These results have been validated as well by ellipsometry measurements showing a reduction in height after the release of the DNA monolayer to $18.3 \pm 0.2 \text{ \AA}$ (~40% decrease).

All steps depicted in Scheme 1 were also followed using QCM measurements. This method allows probing the functionalization of a piezoelectric crystal with the DNA–lipid sensing interface. More specifically, this method enables the detection of mass changes occurring on the quartz crystal as a result of the hydrophobic monolayer formation, the lipid–DNA insertion, and the hybridization process. Gold-evaporated AT-cut QCM crystals, 9 MHz frequency, were used to follow the formation of octadecylthiol monolayers. A densely packed SAM was detected after ~4 h incubation (Figure 5), as indicated by a saturation plateau and in accordance with literature reports.^{55–57} However,

a period of 24 h for SAM formation was chosen to ensure that densely packed monolayers are obtained. Using the Sauerbrey equation (eq 1), we calculated a surface density of 2.3×10^{14} molecules/cm² upon saturation (a frequency shift of $\Delta H = -22.3 \text{ Hz}$). This value fits well to the expected surface coverage for similar alkanethiol densely packed monolayers on gold surfaces.

$$\Delta f = -2f_0^2 \frac{\Delta m}{A(\mu_q \rho_q)^{1/2}} \quad (1)$$

Equation 1 expresses the mass change, Δm , occurring on the crystal in terms of crystal frequency change Δ , where f_0 is the frequency of the quartz crystal prior to mass change, A is the piezoelectrically active area, ρ_q is the density of quartz (2.648 gr/cm^{-3}), and μ_q is the shear modulus ($2.947 \times 10^{11} \text{ dyn/cm}^{-2}$ for AT-cut quartz).

The resulting SAM-modified crystals were used as a basis for the insertion of U2T and U4T lipid–DNAs (both sequences are almost identical, differing only in the number of lipid modifications). Incubation of the QCM crystals in $1 \mu\text{M}$ solutions of the respective DNA–lipid samples for various periods of time revealed striking differences. In both cases, increasing the incubation time led to further mass increase until a saturation plateau was observed after ~24 h, in accordance with results measured by XPS (curves a and b in Figure 5). The surface density achieved by the insertion of U4T–DNA was ~3.8-fold higher than that with U2T. This result clearly showed that the more hydrophobic DNA underwent more efficient and stable piercing into the hydrophobic SAM than its less hydrophobic counterpart. These findings suggest the possibility of modulating the insertion or piercing characteristics of lipid–DNA, or biomolecule–lipid hybrids in general, into SAMs by simply engineering their lipophilic nature and the number of lipid–tail anchoring units. As a control, hydrophobic monolayer-modified crystals were incubated in aqueous solutions with $10 \mu\text{M}$ ODNs, without lipid modification, for similar periods of time. These experiments showed that there is no detectable non-specific adsorption of DNA molecules on the hydrophobic surfaces (curve c in Figure 5), thus demonstrating the need for lipophilic

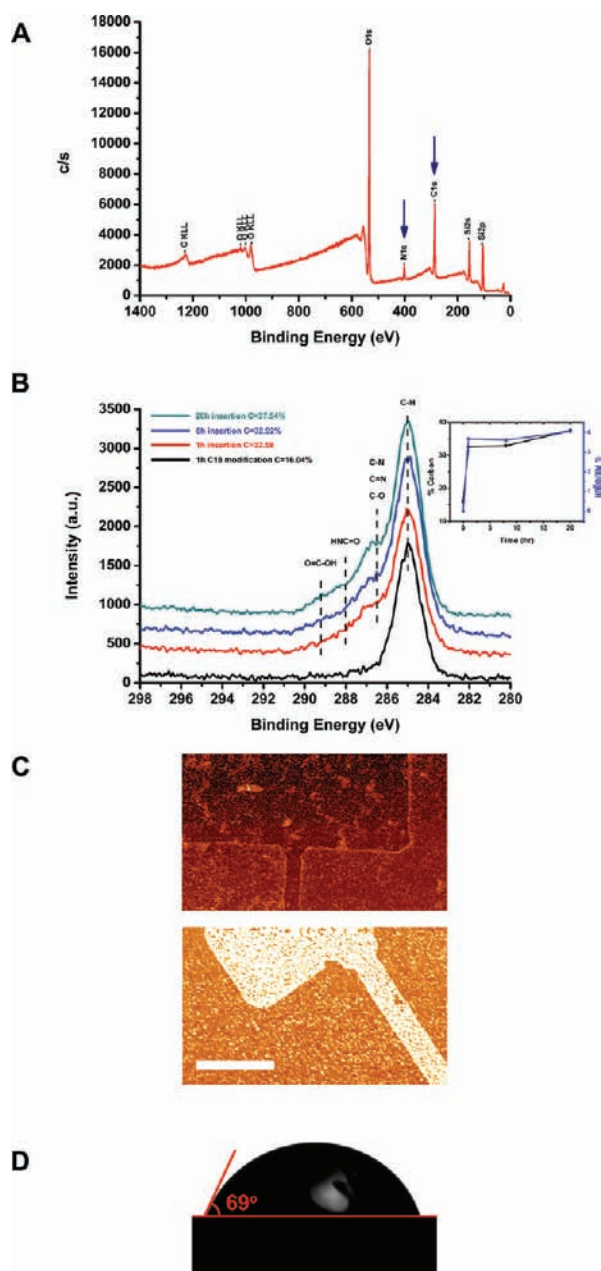


Figure 2. Characterization of the insertion of U4T lipid–DNA into the hydrophobic $C_{18}Si$ monolayer. (A) XPS survey spectrum of a 1 h $C_{18}Si$ -modified wafer after 20 h incubation with DNA, showing an increase in the C1s peak and the appearance of the N1s peak (marked by arrows) and indicative of successful DNA insertion. (B) High-resolution XPS spectra showing C1s peaks obtained from incubation with U4T for 0 (black), 1 (red), 8 (blue), and 20 h (green). Inset: C1s and N1s atomic concentration curves demonstrating a steady increase with time. (C) AFM phase images in a semicontact mode of hydrophobic $C_{18}Si$ -micropatterned squares and lines on a hydrophilic SiO_2 wafer before (top) and after (bottom) insertion of U4T, indicative of a change in phase with the insertion of the DNA. Scale bar for both images is $16 \mu m$. (D) Contact angle measurements show the reduction of the contact angle value to 69° upon U4T insertion.

units in order to undergo insertion and anchoring onto the modified solid surface.

Hybridization of the DNA-modified surface with the cDNA target molecules (concentration $1 \mu M$) led to clear mass changes

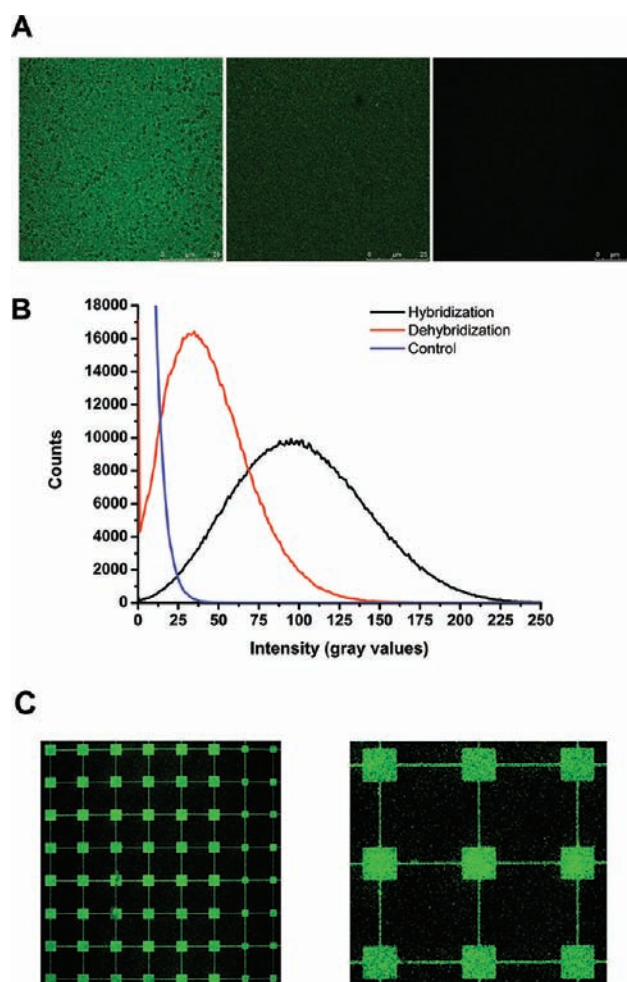


Figure 3. Examination of the hybridization of U4T-comp DNA to the anchored U4T lipid–DNA. (A) Confocal fluorescent images of silicon wafer modified with $C_{18}Si$ SAM with anchored U4T, following hybridization with Alexa 488-labeled U4T-comp DNA (left), after a slight wash with DDW (middle), and after hybridization with Alexa-488-labeled control DNA (right). Scale bar is $25 \mu m$ for the left and middle images and $10 \mu m$ for the right one. (B) A plot of the gray scale intensities calculated from the images in (A), showing a maximum value of 95 for the hybridization with U4T-comp and a decrease to a maximum value of 35 after dehybridization of U4T-comp, in contrast to almost zero for the non-complementary control DNA. (C) Fluorescence images with the lipophilic U4T DNA biolayer in a micropattern of squares and lines, prepared in a similar manner as the samples in (A), after hybridization with its cDNA sequence.

as well. From those data, 62% hybridization efficiency was calculated, equivalent to 1.79×10^{12} molecules/ cm^2 of complementary target DNA. On the other hand, hybridization in the presence of non-complementary DNA did not result in any detectable frequency changes. In addition, incubation of the DNA-functionalized QCM crystal in buffer solution at a temperature of $80^\circ C$ led to the removal of inserted DNA molecules, in accordance with XPS and ellipsometry measurements.

In order to improve the efficiency of the lipid–DNA insertion process, we investigated the influence of mixed SAMs containing both octadecanethiol ($C_{18}S$) and octanethiol (C_8S) on the surface coverage of the lipid–DNA.

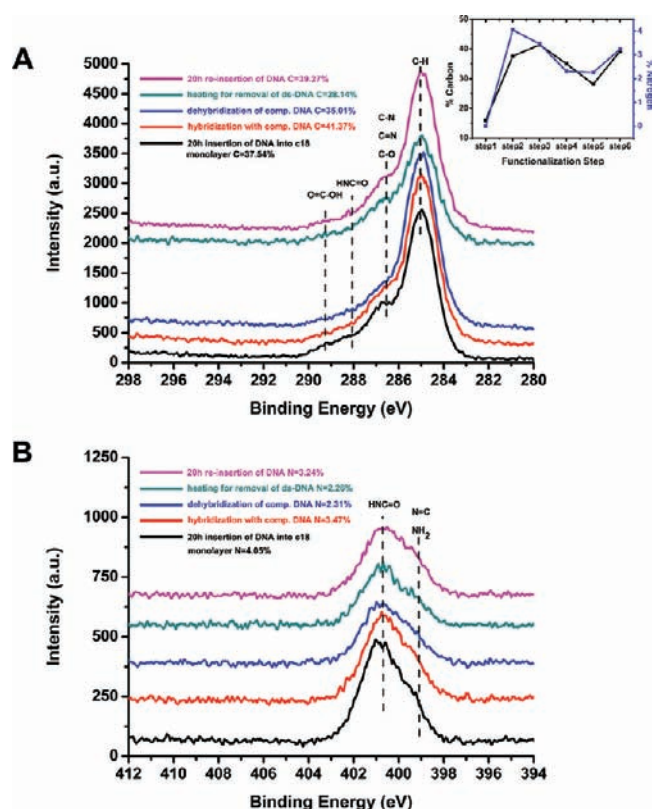


Figure 4. High-resolution XPS spectra of a complete cycle of functionalization and regeneration of a U4T lipid–DNA layer. C1s (A) and N1s (B) peaks clearly allow following all the stages of the surface modifications (bottom to top), starting from the increase of the C1s and appearance of the N1s peaks upon non-covalent anchoring of the U4T lipid–DNA (black). Next, the selective hybridization of the tethered lipid–DNA with its complement (red) results in a further increase in the C1s peak and a decrease in the N1s peak, whereas dehybridization (blue) leads to a decrease of C1s and a further decrease of N1s. Finally, heating the sample to release the anchored lipid–DNA from the hydrophobic monolayer (green) results in a significant decrease in C1s and N1s values, while re-modification with repeated incubation with U4T (pink) induces an increase once more of C1s and N1s values. Inset: C1s and N1s curves showing the relative changes during the functionalization steps: C₁₈Si modification (1), U4T lipid–DNA insertion (2), hybridization (3), dehybridization (4), heating (5), and DNA re-insertion (6).

The self-assembly process of C₈S and C₁₈S derivatives is anticipated to create mixed monolayers containing dimension-controlled “defects” with a depth of 10 carbon atoms (the length difference between the alkanethiols, Scheme 2). These engineered “pinholes” are waiting to be filled by the lipophilic tails of the lipid–DNA molecules in order to stabilize the monolayer structure. The lipid–DNA insertion completes the monolayer by filling the gaps, and thus improves the efficiency of the insertion process. A comparison between measurements on 1:8 C₈S/C₁₈S, 1:16 C₈S/C₁₈S, and C₁₈S-only surfaces is presented in Figure 6A for the insertion of U4T after 24 h incubation time. These results were further supported by XPS data exhibiting a higher C1s atomic concentration for the insertion of U4T into a surface modified with 1:8 C₈Si/C₁₈Si than for insertion into a C₁₈Si-only SAM (Figure 6B). The lipid–DNA surface density measured after incubation of these substrates in a 1 μM U4T solution was 1.3×10^{13} , 7.5×10^{12} , and 2.5×10^{12} molecules/cm² for C₁₈S-only, 1:8 C₈S/C₁₈S, and 1:16 C₈S/C₁₈S monolayers, respectively.

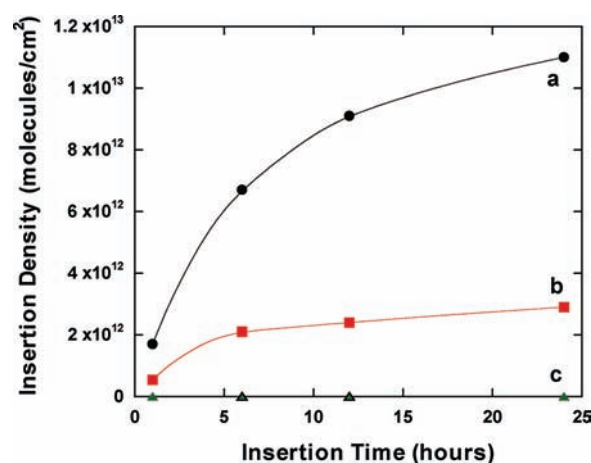
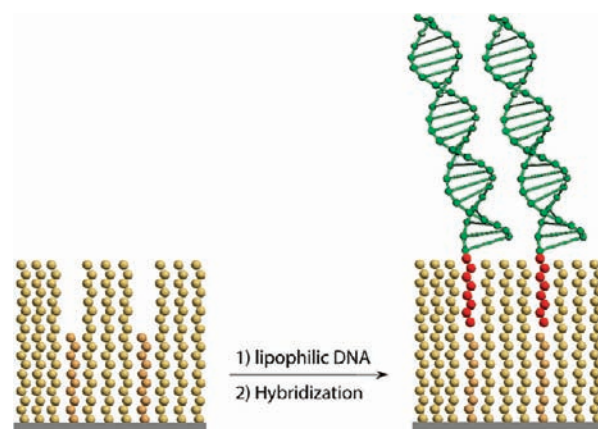


Figure 5. Insertion of lipid–DNA into SAMs measured by QCM. Increasing the incubation time of U4T (a) and U2T (b) leads to mass increase, until a saturation plateau is observed after ~24 h. Control experiments (c) with 10 μM oligonucleotides without lipid-modification for similar incubation times show that there is no detectable non-specific adsorption of DNA molecules on the hydrophobic surface.

Scheme 2. General Schematic of Mixed SAMs of Octadecanethiol (C₁₈S) and Octanethiol (C₈S) Containing Dimension-Controlled Molecular “Pinholes” with a Depth of 10 Carbon Atoms and Anchoring of Lipid–DNA



Clearly, the introduction of engineered pinholes into the mixed SAM led to increased insertion efficiency, and this novel strategy may be generally suitable for the improved and controlled fabrication of biomolecule-functionalized surfaces employing biomolecule–lipid hybrids.

Finally, we applied the novel procedure for the non-covalent anchoring of DNA molecules on solid substrates in a sensing setup using a silicon-nanowire (NW) FET device arrays. Semi-conducting NWs are emerging as powerful building blocks in nanoscience with the potential to have a significant impact on numerous areas of science and technology.^{67–69} In particular, biological sensors based on NW FETs are one of the most promising applications in nanobiotechnology and biomedicine.⁷⁰ These devices overcome limitations of planar FET configurations by their 1-D nanoscale morphology, because the extremely high surface-to-volume ratios associated with these nanostructures make their electrical properties extremely sensitive to

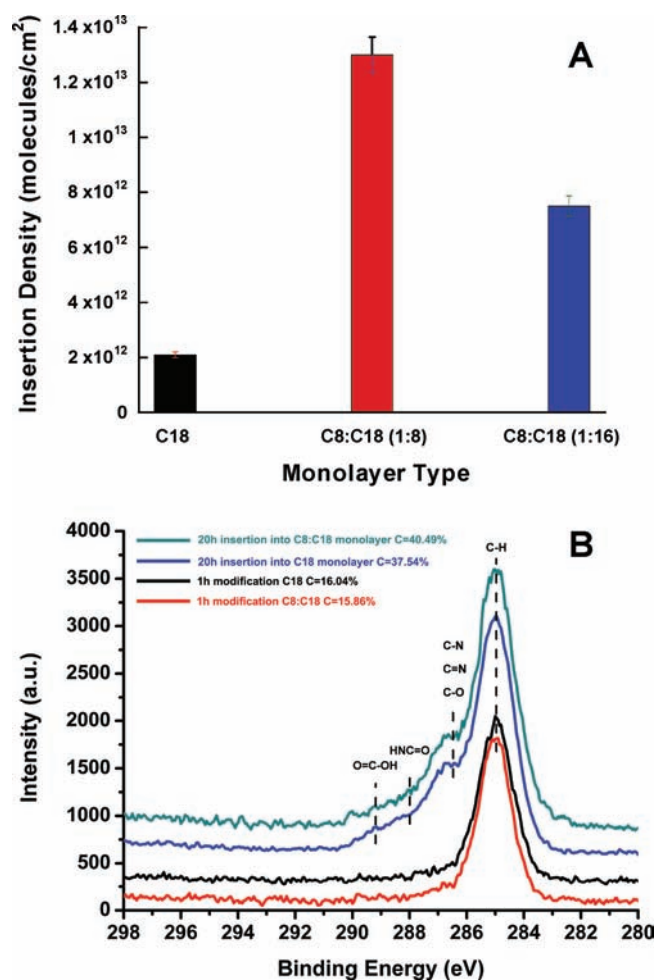


Figure 6. Influence of mixed SAMs on the efficiency of the lipid–DNA insertion process. (A) A comparison of QCM measurements done on 1:8 C₈–C₁₈, 1:16 C₈–C₁₈, and C₁₈-only surfaces. (B) High-resolution XPS spectra showing similar C1s peaks for the formation of hydrophobic monolayers at 1 h incubation with C₁₈Si derivative (black) or mixed C₈Si:C₁₈Si derivatives at ratio of 1:8 (red). However, the C1s peaks for the insertion of the U4T reveal higher atomic concentrations obtained for insertion into the mixed C₈Si:C₁₈Si monolayer (green) than into the C₁₈Si monolayer (blue).

species adsorbed on their surfaces, up to the detection of single molecules.^{71,72} NW-based FETs are configured as sensing devices for biological and chemical molecules by linking receptor groups or probe molecules to the surface of the NWs.^{73,74} The devices rely on detecting changes in the electrical conductance occurring as a result of specific binding of target molecules, such as explosives,⁷³ ATP,²¹ DNAs, or proteins,^{75–79} onto the sensing surface.

Here, large-scale arrays of Si-NW FET devices were fabricated on silicon wafers for the sensing of negatively charged DNA target molecules. Our sensor chip was designed to contain close to 200 potential devices that can perform simultaneous detection. The signal transduction mechanism does not require labeling of the target DNA molecules; thus it represents a label-free detection method. Si-NWs have a native oxide coating that is naturally formed by exposure to air, allowing us to chemically modify their surface in a manner similar to the silicon oxide surfaces described above.

First, Si-NW FET devices were chemically modified with C₁₈Si to form the hydrophobic SAM, and U4T was noncovalently

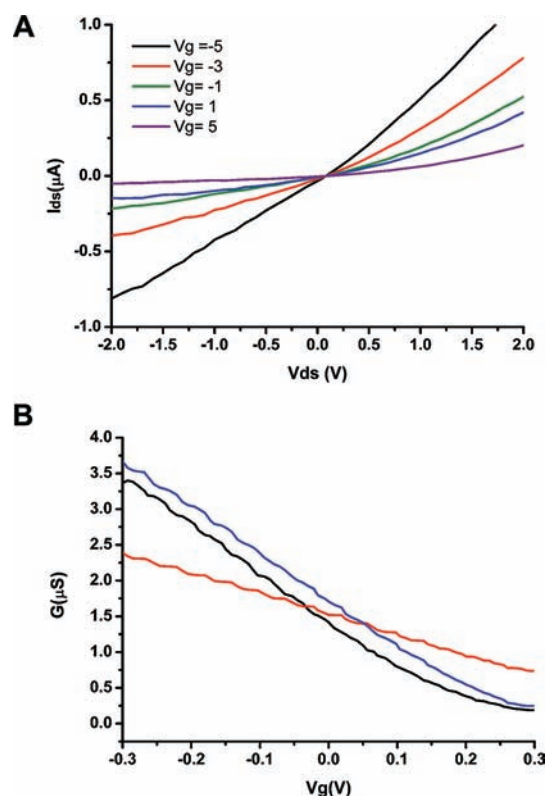


Figure 7. (A) Series of source–drain current (I_{ds}) versus source–drain voltage (V_{ds}) plots at different gate voltages for a typical p-type Si-NW device in air. The black, red, green, blue, and purple curves correspond to gate voltages (V_g) of -5 , -3 , -1 , 1 , and 5 V, respectively. (B) Plot of the dependence of the drain–source conductance on the gate voltage curves, demonstrating the response of the same p-type Si-NW FET device at $V_{ds} = 0.1$ V in solution before DNA insertion into the hydrophobic monolayer (blue), after U4T insertion (red), and after the removal of the U4T by heat treatment (black).

inserted into the hydrophobic monolayer. Subsequently, the chemical modification of the sensing elements and the electrical transport characteristics of the devices were studied. The dependence of source–drain current (I_{SD}) on source–drain voltage (V_{SD}) for varying gate–drain voltages (V_{GD}) for a representative device before any chemical modification is shown in Figure 7A. To assess the efficacy of this system for the sensing of DNA molecules, hybridization buffer (HB) solutions containing various concentrations of fully complementary U4T target DNA (U4T-comp, 5'-GAATCCGCAAAA-3'), a single-base mismatched cDNA (U2T-comp, 5'-GAATCCGCCAAA-3', where the non-matching nucleotide is underscored), or a non-complementary DNA control (non-comp, 5'-TAACAGGAT-3') were delivered to the sensor chip device through a built-in-chip fluid-delivery system. The conductance changes associated with the response amplitudes of the NW biosensors depend on the device transconductance, which represents the device sensitivity. Thus, it was necessary to measure explicit device sensitivity in order to interpret corresponding signals. A calibration method was used to calibrate the electric responses of the NWs that enabled us to significantly suppress device-to-device variation (see Experimental Section).

Examination of the data (Figure 8A) reveals that the conductance of the NWs is sensitive to the presence of the cDNA (U4T-comp) at concentrations of 10 and 1 μ M, and displays a

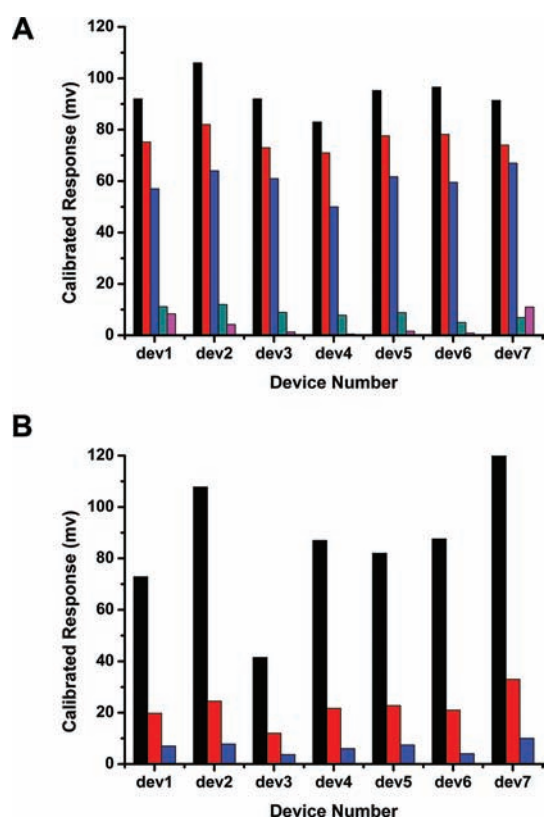


Figure 8. Results of the sensing setup presenting calibrated electrical responses. (A) Comparison of the calibrated responses of seven p-type Si-NW devices functionalized with U4T lipid-DNA on the same chip at $V_g = -0.2$ V, demonstrating the relative difference in the electrical response of the devices upon delivery of 10 μ M (black) and 1 μ M (red) U4T-cDNA; 10 μ M (blue) and 1 μ M (green) U2T-cDNA (with single base mismatch); and 10 μ M control DNA strand (magenta) in hybridization buffer solutions. (B) Comparison of the calibrated conductance responses of seven p-type Si-NW devices functionalized with U4T lipid-DNA on the same chip at $V_g = -0.2$ V, following delivery of 10 μ M U4T-cDNA solution before (black) and after (red) heating the chip to remove the inserted lipid-DNA from the hydrophobic monolayer. In addition, 10 μ M control DNA solution was delivered after heating (blue) in order to assess the integrity of the hydrophobic monolayer and the relative non-specific adsorption.

well-defined change in conductance (indicative of hybridization) and subsequent return to baseline (dehybridization) when the cDNA solution and DDW washing solution, respectively, were delivered through the fluid-delivery system to the devices. The change in conductance began immediately upon exposure of the NW devices to U4T-comp solution and stabilized at a new value after a few minutes. The DNA sensing was performed also at higher ionic strengths (HB 10 times concentrated), showing similar results (Figure S1). At higher salinity the electrical signal was expected to decrease due to the higher ionic screening; nonetheless, it increased due to more efficient hybridization. Delivery of non-comp target molecules at a concentration of 10 μ M did not lead to significant changes in the calibrated response of the devices. Furthermore, the specificity of the Si-NW arrays for the detection of target DNA was probed by introducing a single base mismatch sequence (U2T-comp). It was found that Si-NW FET devices offer high discrimination for single point mutations (Figure 8A). These results clearly showed the intrinsic selectivity

of the DNA recognition layers produced by hydrophobic SAMs and lipid-DNAs. Furthermore, we were able to detect signals in a lower concentration range (Figure S2). For the U4T sequence we tested our devices in a concentration range from 1 fM to 10 μ M for the complementary sequence. These experiments resulted in a lower detection limit of 1 pM ($s/n \sim 3$), although sub-picomolar concentrations could still be clearly discerned. Preliminary experiments with a longer capturing DNA sequence (18mer with four hydrophobic 5'-terminal nucleotides) led to a 10-fold higher sensitivity at the relatively high ionic strengths used in our studies (data not shown).

A key factor when considering a real-time field effect sensor is its ability to be quickly regenerated after operation. This feature was successfully realized here as well. After hybridization, washing with only hybridization buffer did not cause any change in conductance, but when DDW washing solution was introduced into the system the device responded rapidly (~ 5 min) and the conductance returned to its baseline value (Figure S3).

In addition, we demonstrated the renewable use of our device sensor by simply heating the DNA-inserted monolayer surfaces at temperatures of 80–100 $^{\circ}$ C. By this procedure we were able to release the anchored lipid-DNA from the surface, leaving the hydrophobic SAM intact. This process enabled the re-modification of the chip with new lipid-DNA probes and recycling of the device sensor. The removal of the lipid-DNA was proven by XPS, ellipsometry, and sensing results. In the sensing setup we injected 10 μ M DNA analyte (U4T-comp) to the device array before and after the heating treatment, obtaining a clear decrease in the calibrated electrical response after the removal of the inserted lipid-DNA (Figure 8B). Though not very significant, the electrical response after the heating treatment was still detectable. This can be explained either by residual lipid-DNA which was not removed completely from the SAM or by non-specific adsorption of the DNA analyte onto the hydrophobic surface in the absence of lipid-DNA. Re-modification of the Si-NW FET devices with U4T was then undertaken, showing a restoration of the electrical response with the injection of the analyte. A plot of the dependence of the drain-source conductance of a single p-type Si-NW FET device on the gate voltage demonstrated a similar response before lipid-DNA insertion into the monolayer and after removal of U4T by heat treatment, but different behavior after U4T insertion (Figure 7B). The detection of DNA was performed simultaneously by at least 10 NW devices, all demonstrating electrical response when incubated with cDNA.

CONCLUSIONS

In this work we present a novel non-covalent preparation technique for nucleic acid-functionalized surface layers. Likewise, we describe the comprehensive characterization of the biofunctional layer and finally its potential use for sensing applications. lipid-DNA layers were prepared by the insertion of DNA species into dense hydrophobic SAMs. The insertion process took place through the piercing of the lipophilic units of the lipid-DNA into the alkane SAM, as confirmed by XPS, QCM, contact angle, ellipsometry, AFM, and confocal imaging measurements. Such DNA layers can be readily formed on metal and semiconductor surfaces, both showing similar insertion efficiencies. Furthermore, mixed monolayers were shown to improve the efficiency of the insertion process and increase the resulting DNA surface density. The DNA-inserted layers showed high

hybridization yields with target DNA molecules and insignificant non-specific adsorption of non-complementary sequences. Notably, these anchored DNA–lipid molecule layers could be substantially released through heating at temperatures of 80–100 °C, leaving behind DNA-free lipophilic monolayers ready for additional cycles of lipid–DNA insertion. Finally, this novel DNA surface anchoring process was successfully applied for the selective sensing of DNAs by Si-NW FET device arrays, and their regeneration through heat treatment and re-incubation was demonstrated. Several of the novel approaches described in this article may be readily applied for the non-covalent immobilization of other biomolecular species, such as proteins and antibodies.

EXPERIMENTAL SECTION

Materials. All chemicals were used as purchased without any further purification. The synthesis of lipid–DNAs was described previously.^{53,54} At the 5'-ends of both lipid–DNAs, either two (U2T: 5'-UUTGGCGGATTC-3') or four (U4T: 5'-UUUUGCGGATTC-3') hydrophobically modified uridines (U) containing 5-(dodec-1-ynyl)uracil as the nucleobase were installed. The ODN analytes, U4T-comp (5'-GAATCCGCAAAA-3'), U2T-comp (5'-GAATCCGCCAAA-3'), and control DNA (non-comp, 5'-TAACAGGAT-3'), were all purchased from Sigma-Aldrich Inc., both 5'-labeled with Alexa-488 and unlabeled. Octadecylmercaptan and octanethiol were purchased from Sigma-Aldrich Inc. *n*-Octadecyldimethylchlorosilane, *n*-octyldimethylchlorosilane, and (heptadecafluoro)-1,1,2,2-tetrahydrodecyldimethylchlorosilane were purchased from Gelest Inc. Solvents were purchased from Biolab Ltd., Israel, and were used without any further purification. Silicon wafers with 600 nm thermal oxide, SSP prime grade, were obtained from Silicon Quest International. QCM crystals were purchased from ICM Inc., USA.

Preparation of Self-Assembled Monolayers on Gold-Covered QCM crystals. QCM crystals were modified by incubation on 0.1–2.0 mM ethanolic solution of the respective alkanethiol derivative (thiol solutions were purged with nitrogen before incubation) for a given period of time. The crystals were then thoroughly washed with ethanol and dried under a stream of nitrogen. Crystals were kept under a nitrogen atmosphere until used in the next modification steps.

Preparation of Self-Assembled Hydrophobic Monolayers on Silicon Wafers. Prior to the chemical modification, samples were cleaned with a piranha solution (70% H₂SO₄:30% H₂O₂) for 10 min, followed by oxygen plasma treatment: 100 W and 50 sccm O₂ for 200 s. Cleaned wafers were dehydrated on a 200 °C hot plate for 2 h. Substrates were then modified with 1% (v/v) octadecyldimethylchlorosilane (C₁₈Si) or *n*-octyldimethylchlorosilane (C₈Si) in extra dry *n*-heptane in a sealed cup for 1 h at room temperature, at a clean room with low-humidity atmosphere of about 42%. Samples were immediately rinsed with heptane for 10 min with stirring, followed by a short sonication and intensive wash in acetone. Samples were blown dry with N₂ and left for a curing step at 110 °C for 1 h.

The fluorosilane modification was carried out with 1% 1,1,2,2-tetrahydrodecyldimethylchlorosilane in extra dry DCM/heptane 1:1 solution for 1 h, at a clean room with low-humidity atmosphere. Samples were immediately rinsed with DCM for 1 min, followed by a curing step at 110 °C for 10 min, washed with acetone, and blown dry with N₂.

Insertion of Lipid–DNA Molecules into the Self-Assembled Monolayers. The DNA insertion of U2T and U4T was performed at room temperature in 10 mM Tris buffer, pH 7.4 (insertion buffer). The lipid–DNA naturally formed micelles above the critical micelle concentration (CMC), which are dynamic and therefore enable individual DNA molecules to exchange between micelles and also

anchor their hydrophobic tails into the hydrophobic monolayer. There was no need for heating or sonicating the samples to destabilize the micelles. The CMC values of the used lipid–DNAs have been published previously⁵⁴ and are 2.04 and 1.27 μM for U2T and U4T, respectively. In this work, the concentration of the amphiphilic DNA is kept at <1 μM, which is well below the CMC. Therefore, the presence of micelle structures is unlikely.

Following incubation with the lipid–DNA, samples were thoroughly washed with the insertion buffer.

Hybridization and Dehybridization of the Lipid–DNA Molecules. Hybridization of the lipid–DNAs with the DNA analytes was performed at room temperature in hybridization buffer (10× HB) containing 0.01 M Tris, 0.01 M EDTA, 0.05 M NaCl, pH 7.4, and 10 times diluted HB solution. Dehybridization was performed by sequential washing with copious amounts of DDW. Under these conditions, the melting temperature of U4T is around room temperature (Figure S5). It is important to note that preparation of all samples for characterization (i.e., XPS, AFM, contact angle, ellipsometry, and confocal imaging) was performed in a clean room at a temperature of 20 °C. As well, all sensing experiments were conducted at 20 °C in accordance.

Sample Preparation for Characterization by XPS, QCM, Contact Angle, Confocal Microscopy, Ellipsometry, and AFM. *XPS Measurements.* XPS studies were performed on silicon wafers, using S600 Multi-Technique System (PHI, USA) with a base pressure of 2.5×10^{-10} Torr. Samples were irradiated with an Al K α monochromated source (1486.6 eV), and the released electrons were analyzed by a Spherical Capacitor Analyzer using a slit aperture of 0.8 mm. Sample charging was compensated (if required) with a charge neutralizer (C1s at 285 eV was taken as an energy reference). The SAM samples were analyzed on the surface only at a shallow takeoff angle of 23°. High-resolution XPS measurements were taken at pass energy of 11.75 eV with 0.05 eV/step interval.

Preparation of Samples. Briefly, samples were chemically modified as previously described for the formation of the SAM but with different time periods of 1, 8, and 48 h for the chemical modification with C₁₈Si and 1 h for the mixed monolayer of C₈:C₁₈. U4T was incubated with the 1 h-reacted C₁₈Si hydrophobic monolayer for time periods of 1, 8, and 20 h and with the mixed C₈:C₁₈ monolayer for 20 h. Hybridization with the full complement to U4T took place on the 20 h-anchored U4T on the C₁₈Si SAM with HB at room temperature. Samples were then washed with HB several times. Dehybridization of dsDNA was realized by extensive washing with DDW. Finally, samples with U4T-anchored ss or ds lipid–DNA were heated to 80–100 °C for 10 min for the release of DNA from the hydrophobic surface. Samples were then recycled with U4T lipid–DNA that was re-inserted as described above.

QCM Measurements. A home-built QCM analyzer equipped with a Fluke 164T multifunction counter was used for the microgravimetric QCM experiments. Quartz crystals (AT-cut, 9 MHz) sandwiched between two Au electrodes (roughness factor ca. 3.5 with an area of 0.196 cm²) were used in microgravimetric experiments. Quartz electrodes were cleaned with a piranha solution (70% H₂SO₄:30% H₂O₂) for 15 min, rinsed thoroughly with DDW, and dried with a stream of argon.

Contact Angle Measurements. Contact angle measurements were taken using Ramé-Hart instruments (Dropimage, CA).

Preparation of Samples. Samples were cleaned and chemically modified in a manner similar to that used for the XPS samples as described. Contact angles of water droplets on the C₁₈ hydrophobic self-assembled monolayers at different time intervals showed increased values with longer incubation times without significant change after 8 h; angles of 92° and 95° were achieved already after incubation times of 1 and 8 h, respectively, in comparison to a contact angle of ~42° measured for the pristine silicon substrate. The insertion of U4T into the hydrophobic monolayer at different time intervals of 1 and 8 h showed a distinct decrease in the contact angle to values of 70° and 69°, respectively.

These values are indicative for the insertion of the U4T lipid–DNA, creating a moderately hydrophilic surface in comparison to the former hydrophobic one.

Confocal Fluorescence Imaging. Confocal fluorescent images were obtained with a Leica SP5 confocal microscope. Images were acquired using excitation at 488 nm and emission range of 500–550 nm with X63 1.4 NA Plan-Apo oil immersion objective using LASAF software.

Preparation of Samples. SAM samples were chemically modified as previously described for XPS measurements for 1 h followed by the insertion of U4T lipid–DNA for 20 h and extensive washing with insertion buffer. Next, hybridization with complementary U4T Alexa 488-labeled DNA and non-complementary Alexa 488-labeled control DNA were each performed at room temperature. Finally, dehybridization was realized by a slight wash with DDW.

All fluorescence micrographs that were compared regarding their intensity were taken under the very same conditions, on the same day, in order to minimize signal variations between the different samples. Parameters such as pinhole, zoom, illumination, exposure, noise, offset, contrast, etc. were kept fixed.

Thickness Measurements by Ellipsometry. Ellipsometric measurements were carried out on a M-2000DUV spectroscopic ellipsometer (J.A. Woollam Co., Inc.). The angles of incidence were 65°, 70°, and 75°, with a spot size of 2–3 mm. The data were analyzed using WVASE32 software installed with the ellipsometer. The film thicknesses of the examined monolayers were calculated by using the Cauchy model.

Preparation of Samples. Samples were treated as previously described on wafers of 100 nm thermal oxide, with the same sample measured after each functionalization step.

Atomic Force Microscopy. Images were taken in a tapping mode using an Agilent 5500 SPM atomic force microscope and a probe of NSC18/AIBS of μ -masch with a spring constant of 3.5 N/m. Data for the two images were recorded sequentially using a phase image signal source.

Preparation of Samples. A lipophilic U4T bilayer micropattern of squares and lines was created. First, a pattern of squares and lines was formed on a clean silicon wafer by photolithography. Next, chemical modification with C₁₈Si was performed as previously described for 1 h, followed immediately by removal of the photoresist by brief sonication and sequential washing with acetone, resulting in hydrophobic micropattern on the hydrophilic silicon wafer. U4T lipid–DNA was inserted into the hydrophobic monolayer for 20 h by fully covering the substrate with solution, followed by extensive washing with insertion buffer. The geometry of the pattern undoubtedly showed a marked phase shift across the boundaries, indicative of the change in phase from the hydrophobic C₁₈ pattern to a more hydrophilic one with the insertion of the lipid–DNA into the pattern.

Si-NWs Synthesis, Device Array Fabrication, Sensing, and Electrical Characterization. *Si-NWs Synthesis.* Silicon nanowires were synthesized by chemical vapor deposition as previously described.⁸⁰ In short, 20 nm gold nanoparticles (Ted Pella), which served as catalyst sites for the VLS-CVD growth of Si-NWs, were initially deposited on Si (100) growth substrates. To promote the adhesion of the gold nanoparticles to the silicon substrate, a poly-L-lysine solution (Ted Pella) was applied to the bare silicon wafer, as an electrostatic binding agent. The nanoparticle-decorated wafer was then placed in a horizontal tube furnace for the growth of the Si-NWs. Silane and diborane were used as reactants during the growth to provide boron as a p-type dopant with a B:Si ratio of 1:4000.

Nanowire FET Fabrication. Si-NW FET devices were fabricated by photolithography. Briefly, source and drain electrodes were deposited with the use of a multilayer photoresist structure consisting of 300 nm LOR3A (Microchem) and 500 nm 1805 (Shipley). After exposure and development of the electrode patterns, the contacts were metallized by e-beam and thermal evaporation of Ti/Pd/Ti (2/60/8 nm), respectively,

and were then passivated from the electrolyte with an insulating layer of Si₃N₄ (100 nm thick) deposited by plasma-enhanced chemical vapor deposition. The separation between the source and drain electrodes for each FET was 2 μ m.

Fluid-Delivery System. The fluid-delivery system was fabricated from flexible polydimethylsiloxane (PDMS) elastomer mixed in a 10:1 ratio with base as curing agent. The PDMS was cured overnight in an oven at 60 °C and then cut into rectangular pieces. The dimensions of the PDMS were 10 × 10 × 5 mm.

Data Acquisition, Electrical Setup, and Sensing. The basic electrical properties of the Si-NW devices on the sensor chip were first characterized in air as this provides means for quality control before completion of the sensor structure. The sensor device chip was then integrated with a custom-made PDMS microfluidic channel and wire bonded to the outside conductive pads for the electrical measurements. The conductance of the Si-NW FET was measured by application of AC bias (70 kHz, 100 mV) by means of a lock-in amplifier (Stanford Research System model SR830 DSP). The drain current was amplified with a variable-gain amplifier (model 99539 Amplifier System) and filtered by the lock-in amplifier with a time-constant setting of 300 ms. The output data were recorded by using a multichannel I/O adaptor panel (BNC-2090, National Instrument). DNA sensing studies were carried out by monitoring the conductance of the Si-NW devices over time while DNA analytes were delivered to the sensing chip by the microfluidic system using a syringe pump (Dolomite Mitos Syringe Pump XS) at a flow rate of 5 μ L/min. The action of injecting the solution might introduce some negligible noise into the electrical read-out signal. All studies were carried out at room temperature.

Calibration of Device Responses. The calibration technique is based on correlating between the NW gate dependence (dI_{ds}/dV_g) and the absolute response (absolute change in current, ΔI).⁸¹ The absolute response was divided by (dI_{ds}/dV_g) for each device, which significantly improved the device to device variation, as verified by a decrease in the coefficient of variation (CV). The CV is defined as the ratio of the standard deviation σ to the mean μ as described by the equation $CV = \sigma/\mu$.

■ ASSOCIATED CONTENT

S Supporting Information. Figure S1, comparison of Si-NW FET sensitivities at different ionic strengths; Figure S2, typical concentration-dependent sensing results using lipid–DNA functionalized surfaces; Figure S3, representative real-time sensing experiments using Si-NWs FETs; Figure S4, contact angle measurements of a fluorosilane derivative monolayer on silicon surfaces; Figure S5, T_m analysis, performed by UV spectroscopy, of U4T; Figure S6, anion-exchange chromatograms of the purified lipid–DNAs, U2T, and U4T. This material is available free of charge via the Internet at <http://pubs.acs.org>.

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■ ACKNOWLEDGMENT

We thank the Legacy Foundation and Israel Science Foundation for financial support. We thank Dr. Leonid Mittelman for his professional assistance with confocal microscope experiments. M.K. and A.H. were supported by the EU (ERC starting grant, ECCell), the Netherlands Organization for Scientific Research

(NWO-Vici), the German Research Foundation, and the Zernike Institute for Advanced Materials.

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